



AT/2643

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/037,782
Filing Date	January 2, 2002
First Named Inventor	Ronald A. KATZ
Art Unit	2643
Examiner Name	S. Woo
Attorney Docket Number	6646-114N10

Total Number of Pages in This Submission

### ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <b>Remarks</b><br>Form PTO-1449 Supplemental XIV - XV (7 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 1 of 1 (3 pgs); Return postcard. |   |
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	June 3, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

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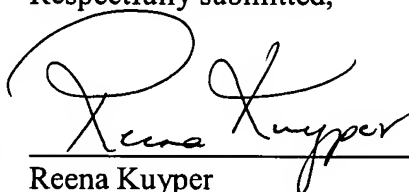


Application No.: 10/037,782  
Supplemental IDS dated: September 23, 2003

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others. This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fee (indicated in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. 50-1636.

Respectfully submitted,

Dated: June 3, 2004

By:   
Reena Kuyper  
Reg. No. 33,830

9220 Sunset Blvd., Suite 315  
Los Angeles, California 90069  
(213) 489-1600

<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL XIV</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N10	<b>SERIAL NO.</b> 10/037,782
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> January 2, 2002	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,555,198	01/12/71	Stepan	_____	_____	_____
		4,985,913	01/15/91	Shalom et al.	_____	_____	_____
		4,951,310	08/21/90	Honda et al.	_____	_____	_____
		5,029,196	07/02/91	Morganstein	_____	_____	_____
		5,841,837	11/24/98	Fuller et al.	_____	_____	_____

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		General Trading Co., Inc., VoiceStar Proposal Jan. 29, 1988 (Vz Cal 339367-339414)
		Gitlin, Bob, "Impersonal Touch? Risk Management Resources' Bottom Line Outweighs Criticism of Voice Processing," Communications News, Feb. 1, 1990, Vol. 27, No. 2.
		Periphonics Corporation, Bank of American Versatel Home Banking System, Sept. 2, 1980 (Vz Cal 339415-339456)

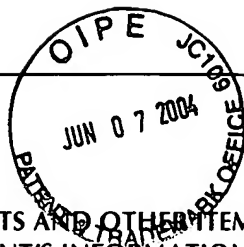
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL XV</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N10	<b>SERIAL NO.</b> 10/037,782
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U.S. PATENT DOCUMENTS							
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		3,622,995	11/23/1971	Dilks	-----	-----	3/21/1969
		3,725,596	4/3/1973	Maxon et al.	-----	-----	11/12/1971
		3,725,597	4/3/1973	Streisand	-----	-----	7/1/1971
		4,438,824	3/27/1984	Mueller-Schloer	-----	-----	4/22/1981
		4,484,031	11/20/1984	Gray et al.	-----	-----	6/21/1982
		4,656,624	4/7/1987	Collins et al.	-----	-----	5/3/1985
		4,755,872	7/5/1988	Bestler et al.	-----	-----	7/29/1985
		4,782,508	11/1/1988	Borchering et al.	-----	-----	3/3/1986
		Re. 34,587	4/19/1994	Crane et al.	-----	-----	4/19/1994
		3,689,703	9/5/1972	Allen et al.	-----	-----	9/5/1972
		3,752,904	8/14/1973	Waterbury	-----	-----	8/9/1971
		4,531,023	7/23/1985	Levine	-----	-----	8/13/1982
		4,612,416	9/16/1986	Emerson et al.	-----	-----	1/22/1985
		4,763,353	8/9/1988	Canale et al.	-----	-----	2/14/1986
		5,402,472	3/28/1995	MeLampy et al.	-----	-----	4/23/1992

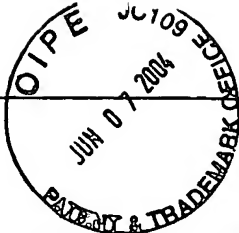
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		54-061807	5/18/1979	Japan			X (abstract only)	
		55-010246	1/24/1980	Japan			X (abstract only)	

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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL XV</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N10	<b>SERIAL NO.</b> 10/037,782
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		56-044958	4/24/1981	Japan			X (abstract only)	
		57-045767	3/15/1982	Japan			X (abstract only)	
		57-162867	10/6/1982	Japan			X (abstract only)	
		01-098362	4/17/1989	Japan			X (abstract only)	
		62-038933	02/19/1987	Japan			X (abstract only)	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	Steven C. Grant and Yvonne Brooks Grant, <i>The Teleconnect Guide to Automatic Call Distributors</i> , 2 <sup>nd</sup> Ed. January 1985, ("The Teleconnect Guide")
	<i>Special Feature: The Automated Switchboard Attendant in the Telemanagement Report</i> , pp. 49-56, Vol. 2, No. 5 (15), June 1984 ("The Telemanagement Report")
	Via Cable, Vol. 2, No. 7 (November 1982)
	Via Cable, Vol. 3, No. 1 (December/January 1983)
	CableData U.S. Computer System Annual Report (1982)
	Letter from Douglas Semon, Director of Engineering, New Technology Development, Viacom Cable to Mr. Semir Serazi, CATV Division, Zenith Electronics Corp., dated February 22, 1985
	Letter from Andrew Paff, Manager, New Business Development to Donna Brickell, Project Manager, Pacific Bell, dated May 21, 1985
	<i>A Trick of a National Pay-Per-view Ordering and Billing System</i> , published by the 1986 Convention Technical Program Committee of the National Cable Television Association (March 1986)
	Viacom Cable Memo dated May 30, 1986
	Customer Interface User Manual dated October 18, 1986
	Viacom Memo dated November 26, 1986
	Undated document entitled "Pacific/CATV Hybrid Pay-Per-View Feature"
	The Yankee Group, "Cable and the Telcos: From Confrontation to Détente," - Jun. 1983, pp. 162-167
	"The Voice," VCT Quarterly Newsletter, Volume 1, No. 2, Winter 1986, pp. 1-6 (Vz Cal 291279-291284)

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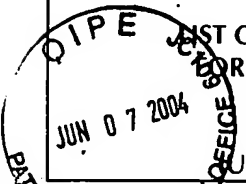
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FORM PTO-1449	ATTY. DOCKET NO. 6646-114N10	SERIAL NO. 10/037,782
SUPPLEMENTAL.XV	<div style="text-align: right; font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="text-align: right;">JUL 07 2004</div> <div style="text-align: right;">Technology Center 2000</div>	
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;">             U.S. PATENT &amp; TRADEMARK OFFICE              JUN 07 2004           </div>		
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT: Ronald A. KATZ	FILING DATE: January 2, 2002
	GROUP: 2643	

	"The Voice," VCT Quarterly Newsletter, Volume 2, No. 3, Winter 1987, pp. 1-6 (Vz Cal 291273-291278)
	Reisman, Bert, "New IBM Audio Response Unit Enables Computer To Answer Information Inquiries With Voice Messages," IBM Technical Information, January 24, 1964, pp. 1-3 (press release) (Vz Cal 114854-114856)
	"IBM system/360 Component Description, IBM 7772 Audio Response Unit," IBM Systems Reference Library, File Number S360-09, Form A27-2711-0, 1966, pp. 5-29 (Vz Cal 92638-92669)
	"The Mississauga Transit Demonstration of the Automatic Bus Passenger Information Concept," Prepared for the Urban Transportation Research Branch of Canadian Surface Transportation Administration Transport Canada, Montreal, Quebec, March 1979, 10 pages (Vz Cal 114898-114906)
	Oliver, G.P., "Architecture of System X, Part 3-Local Exchanges," <i>POEEJ</i> , Vol. 73, April 1980, pp. 27-34 (Vz Cal 92702-92709)
	Letter from Murphy (ASI Teleprocessing) to Koprucu (International Tech) re ASI Teleprocessing and its SolidVoice System, 5/27/80 (Vz Cal 134785)
	Shepard, H., et al., "Functional Specification for Bank of America, EBR - Bank Card Systems, San Francisco, California, August 8, 1980," August 8, 1980 (Vz Cal 137696-137721)
	"Calling Card Service Debuts in St. Louis, Marks a significant change in customer calling service," <i>Bell Labs News</i> , October 5, 1961?, 2 pages (Vz Cal 134090-134091, and duplicate under Vz Cal 93043-93044)
	"BT-II Data Entry/Voice Response Processor," PTC, Perception Technology Corporation, 6 pages (Vz Cal 133904-133909)
	Special Edition: 1983 Buyer's Guide Issue, <i>Speech Technology</i> , Math/Machine Voice Communications, Vol. 1, No. January/February 1983, 9 pages (introductory pages for the following articles) (Vz Cal 80988-80996)
	Lea, Wayne, "Selecting the Best Speech Recognizer for the Job," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 10-29 (Vz Cal 80997-81016)
	Voiers, William D., "Evaluating Processed Speech Using the Diagnostic Rhyme Test," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 30-39 (Vz Cal 81017-81026)
	Rubinchek, Benjamin, "Toward Standards for Speech I/O Systems," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 40-42 (Vz Cal 81027-81031)
	Sorace, R.E., et al., "Interactive Voice and Video Game Shows Off Speech Recognizer Advances," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 45-53 (Vz Cal 81032-81041)
	"Voice Leaders Speak Out," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 55-69 (Vz Cal 81042-81056)
	Bell, Donald, et al., "Designing Experiments to Evaluate Speech I/O Devices and Applications," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 70-79 (Vz Cal 81057-81066)
	Stoughton, Alan M., "Personal Computers Deliver Economical Speech Aids to the Handicapped," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 80-88 (Vz Cal 81067-81075)
	DeSipio, Richard G., et al., "Avionics System Plays 'Ask and Tell' with Its Operator," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 89-93 (Vz Cal 81076-81080)
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	Prull, Dale W., "Signal Squeezing Produces High-Quality Speech in PCM Systems," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 107-115 (Vz Cal 81088-81096)

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FORM PTO-1449		ATTY. DOCKET NO.	SERIAL NO.
SUPPLEMENTAL XV		6646-114N10	10/037,782
 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT:	<b>RECEIVED</b> JUL 07 2004 Technology Center 2600
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		January 2, 2002	2643

	Chan, Chi Foon, et al., "A Total Approach to Speech Recognition," <i>Speech Technology</i> , Jan/Feb. 1983, pp. 116-118, 121-128, 130 (Vz Cal 81097-81109)
	"What's The Best Way to Analyze Speech and Other Non-Stationary Signals?" "Digital Sound", <i>Speech Technology</i> , Jan/Feb. 1983, 2 pages (Vz Cal 81110-81111)
	Datavoice Technology, Publication #3850201A, January 1983, Periphonics (Vz Cal 140657-140678)
	Shepard, H., et al., Functional Specification for First Hawaiian Bank, 165 South King Street, Honolulu, Hawaii 96847, Original: September 26, 1980, First Revision: September 13, 1982, Second Revision: October 13, 1983, System Number: 2010, Reference Number: 12038 (Vz Cal 137521-137564)
	Computer System Proposal for Oceanic Cablevision, Honolulu, Hawaii, November 17, 1983, by Business Systems, Inc., 2720 Wade Hampton Blvd., Greenville, S.C. 29615 (Vz Cal 92432-92436)
	Touch Tone Data Entry/Voice Response Systems, The Perception Technology Corporation Marketing Agreement (Advance Copy), Prepared by: AT&T Information Systems, W. Gourley, R. Fortin, J. Maynes, May 1984 (Vz Cal 79189-79238)
	Special Feature, The Automated Switchboard Attendant, <i>The Telemanagement Report</i> , Volume 2, Number 5 (15) - June 1984, pp. 50-56 (Vz Cal 114914-114921)
	Uenohara, Michiyuki, "Speech Products and Their Applications in Japan," NEC Corporation, <i>Speech Tech '85</i> , pp. 273-276 (Vz Cal 92690-92693)
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	Kirvan, Paul F., "Centrex Positions Itself for Your Future," <i>Business Communications Review</i> , July-August 1985, Volume 15, Number 4, pp. 2-6 (Vz Cal 87688-87694)
	Hills, Michael T., "Does Equal Access Have a Place in Automatic Route Selection Patterns?" <i>Business Communications Review</i> , July-August 1985, Volume 15, Number 4, pp. 20-25 (Vz Cal 87695-87700)
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	AT&T Speech Response Service Product Description, (Advance Copy) 7/22/85 (Vz Cal 135020-135033)
	Bank-By-Phone, Your most convenient way to bank and pay your bills, California First Bank, Form 2850-D, Rev. 9/85 (Vz Cal 92374-92386)
	Kauffman, Marc, "Pay-per-view technologies: Real-time vs. store and forward," <i>Communications Technology</i> , October 1985, pp. 36, 48, 50-52 (Vz Cal 92310-92314)
	Ferber, Leon, "Voice response IPPV," <i>Communications Engineering &amp; Design/The Magazine of</i> , October 1985, 3 pages (Vz Cal 92729-92732)
	Wienski, Robert M., et al., "Getting Ready for ISDN," <i>Business Communications Review</i> , November-December 1985, pp. 2-6 (Vz Cal 87731-87736)
	Speech Response Service, Automated Course Registration for College Administrators, AT&T, 1986, 4 pages (Vz Cal 92352-92355, and duplicate under Vz Cal 134803-134806)
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	Toth, Victor, "Telecom Legislation: The Time Is Almost Ripe But this Time Let's Do It Right," <i>Business Communications Review</i> , March-April 1986, pp. 8-12 (Vz Cal 89987-89994)

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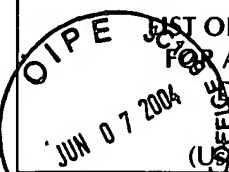




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SUPPLEMENTAL: XV		APPLICANT: Ronald A. KATZ	
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	Speech Response Service Marketing Guide, Prepared by: AT&T Information Systems, Speech Response Service Product Marketing, April 1986 (Vz Cal 135971-136002)
	Letter from Pollpeter (Rockwell International) to Scally (Perception Technology Corporation) re Rockwell's interest in offering an Audio Response Unit as part of the Galaxy ACD (Automatic Call Distributor) Product Line, 5/27/86, 1 page (Vz Cal 93068)
	Draft Baybanks Systems, Inc. Telephone Banking Requirements Report, Project Number 1816, Prepared by: Becca Ellis, Carol Bubilis, Larry Mariasis, November 7, 1986, pp. 1-37, Exhibit A and Exhibit B (Vz Cal 137618- 137655)
	"AT&T taking role in Ani test in Wis." (date and source illegible) (Vz Cal 82282)
	Voice News, Volume 7, Number 1, January 1987, pp. 1-8 (Vz Cal 79725-79732)
	Letter from Brown (CECORP) to Ferber (NUSC/CU) re request for information on CEVOICE (along with CEVOICE Demo Instructions), 4/29/87, 5 pages total including letter (Vz Cal 92408-92412)
	The Aspect CallCenter, <i>Business Communications Review</i> , Volume 17, Number 3, May-June 1987, pp. 43-45 (Vz Cal 90444-90472)
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	Gordon, James R., "PBX Vendors Jockey for Position," <i>Business Communications Review</i> , Volume 18, Number 1, January-February 1988, pp. 7-10 (Vz Cal 90163-90168)
	Wallace, Bob, "Amex details experience with ISDN at user meeting; First PRI user cites better productivity, service" <i>Network World, Inc.</i> , October 3, 1988, 3 pages (Vz Cal 89844-89846)
	Sharma, Ranjana, "On the ACD cutting edge," <i>Network World, Inc.</i> , October 17, 1988, 2 pages (Vz Cal 89842-89843)
	"Phone-Based System Reduces Registration Frustration and Costs," T.H.E. (Technological Horizons in Education) Journal, Dec./Jan. 1988/89, 1 page + cover (Vz Cal 92970-92971)
	Toader, Adrian, "'900': Image the opportunities," <i>Voice Processing Magazine</i> , 3 <sup>rd</sup> quarter 1989, 1 page (Vz Cal 92413)
	"Aspect Applications Guide, Application Bridge," <i>Aspect Telecommunication</i> , pp. 1, 3, 5, 7, 9, 11, 13, 15 (Vz Cal 92156-92165)
	"A Load of Technology and Know-How," <i>Inbound/Outbound Magazine</i> , October 1989, 4 pages (Vz Cal 92414-92417)
	Teldear Telephone Data Entry & Audio Response, 5 pages, undated (Vz Cal 135886-135890)
	Korzeniowski, Paul, "Goal Number 1: Cut down on fraudulent transactions. Goal Number 2: Keep the customer satisfied," undated, pp. 35-37 (Vz Cal 91810-91811)
	PEP - Phone Entry Processor, 1984 Cable Data, 2 pages (Vz Cal 134831-134832)
	"Data Entry/Voice Response, Today's simplest, most cost-effective approach to data entry and retrieval," by Perception Technology, 8 pages, 5/85 (Vz cal 92344-92351)

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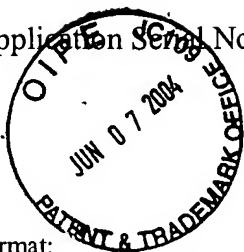
	Urx Corporation Product Data, 5 pages, 1984 (Vz Cal 134815-134819)
	Field, Rebecca R., "SMDR - Designing A Resource Management Tool," <i>Business Communications Review</i> , January-February 1985, pp. 19-27 (Vz Cal 87590-87598)
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# INFORMATION FOR COMPACT DISC 1 OF 1

Machine format: IBM-PC  
 Operating system compatibility: MS-Windows  
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## I. Supplemental XIV

### a. Other Documents

<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
General Trading Co., Inc., VoiceStar Proposal Jan. 29, 1988 (Vz Cal 339367-339414)	Adobe Acrobat Document	1996 KB	05/28/2004
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4951310 Honda et al.	Adobe Acrobat Document	972 KB	03/04/2004
4985913 Shalom et al.	Adobe Acrobat Document	958 KB	03/04/2004
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<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
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3725596 Maxon et al.	Adobe Acrobat Document	1300 KB	06/02/2004
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4438824 Mueller-Schloer	Adobe Acrobat Document	977 KB	06/02/2004
4484031 Gray et al.	Adobe Acrobat Document	694 KB	06/02/2004
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4763353 Canale et al.	Adobe Acrobat Document	913 KB	06/02/2004
5402472 MeLampy et al.	Adobe Acrobat Document	2579 KB	06/02/2004

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JP 01-098362	Adobe Acrobat Document	60 KB	06/02/2004
JP 54-061807	Adobe Acrobat Document	53 KB	06/02/2004
JP 55-010246	Adobe Acrobat Document	66 KB	06/02/2004
JP 56-044958	Adobe Acrobat Document	63 KB	06/02/2004

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JP 57-162867	Adobe Acrobat Document	60 KB	06/02/2004
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## c. Other Documents

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